

(Please print or complete electronically.)

NAME (REQUIRED)		APPELLATION <input type="checkbox"/> Mr. <input type="checkbox"/> Mrs. <input type="checkbox"/> Ms.	
TELEPHONE (REQUIRED)	EMAIL ADDRESS (REQUIRED)		
COMPANY NAME			
MAILING ADDRESS			
CITY	STATE	ZIP	

Under the IRES Bylaws, AIE® and CIE® designations may be awarded to a Sustaining Member who meets the Society's membership definition, which specifies that any Sustaining Member may obtain the AIE®/CIE® designation by successfully completing the current requirements for the AIE®/CIE® designation. Such Sustaining Member may meet the regulatory service requirement of the AIE®/CIE® designation by attaining the Market Conduct Management (MCM®) designation, provided that such member or his firm has been a Sustaining Member of the Society for at least two years. Such Sustaining Member must comply with all continuing education requirements, as well as the requirements set forth in Article III, Section 3 to maintain the AIE®/CIE® designation. I hereby certify that:

- I meet IRES' qualifications for sustaining membership.
- I have a Market Conduct Management (MCM®) designation.
- I (the sustaining individual) or the sustaining firm I am a part of has been a sustaining member of the Society for at least two or three years (depending on designation being applied for). I began full-time insurance work in (month/year):

I am applying for the following designation:

- Accredited Insurance Examiner (AIE®)**
You must have attained an MCM® designation and you or your firm must have been a sustaining member of IRES for at least two years.
- Certified Insurance Examiner (CIE®)**
You must have attained an MCM® designation and you or your firm must have been a sustaining member of IRES for at least three years.

The curriculum for which I am seeking credit is:

LIFE - ANNUITIES PATH

- To achieve AIE®: **Life - Annuities Courses**
- To achieve CIE®, you complete the above, plus: **Cross Training in Property & Casualty**

HEALTH PATH

- To achieve AIE®: **Health Courses**
- To achieve CIE®, you complete the above, plus: **Cross Training in Property & Casualty or Life-Annuities**

PROPERTY-CASUALTY PATH

- To achieve AIE®: **Property & Casualty Courses**
- To achieve CIE®, you complete the above, plus: **Cross Training in Life-Annuities**

I hereby attest that I am currently a sustaining member of the Insurance Regulatory Examiners Society and that I am enclosing documentation for the Accreditation Committee. I authorize the Insurance Regulatory Examiners Society and persons acting on its behalf to obtain such other and further information as may be necessary to verify the information provided in this application and to evaluate my eligibility for the designation applied for. I further authorize any educational institution, governmental agency, employer, supervisor or any other organization or person that has records or knowledge of my eligibility to give such information to the Society

SIGNATURE	DATE
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Note: Any prior approved courses not currently listed on this application can be submitted with a request and appropriate documentation for consideration.

You may complete this form electronically (preferred method). Be sure to save it to your computer before completing.

You or the sustaining firm you are a part of must have been a sustaining member of IRES for at least two years for the AIE® or three years for the CIE®

Your application **MUST** be accompanied by:

- 1) A transcript showing course completion.
- 2) A letter from a Manager or Supervisor attesting on behalf of their insurance organization to the required regulatory work experience (if you have been an IRES member for fewer than two years [for the AIE®] or fewer than three years [for the CIE®]).

Remit entire form to:



10340 Democracy Lane
Fairfax, VA 22030 Suite 300
info@go-ires.org 708-820-8112

www.go-ires.org

AIE®

To obtain your AIE®, you must pass **any eight** of the following courses (which may be taken in any order):

- 1) AINS 22 – Personal Insurance; CPCU 555 – Personal Risk Management & Property Liability Ins.; or CPCU 553 – Survey of Personal Insurance and Financial Planning (**MAY ONLY COUNT ONE**)
- 2) AINS 23 – Commercial Insurance; CPCU 551 – Commercial Property Risk Management & Insurance; CPCU 557 – Survey of Commercial Insurance; or CPCU 553 – Survey of Personal Insurance and Financial Planning (**MAY ONLY COUNT ONE**)
- 3) Any AIC course
- 4) CPCU 500 (or CPCU 510) – Foundations of Risk Management and Insurance
- 5) CPCU 520 – Insurance Operations
- 6) CPCU 530 – Business Law for Insurance Professionals
- 7) CPCU 552 – Commercial Liability Risk Management & Insurance
- 8) CPCU 560 – Financial Services Institutions
- 9) ARC 301 – Insurance Regulation
- 10) AIAF 111 – Statutory Accounting for Property Casualty Insurance

The AIE® designation will be automatically granted to sustaining members who:

1) Have been (or their firm has been) a sustaining member of IRES for at least two years;

2) Have attained the MCM® designation; and

3) Have obtained a CPCU designation.

You must send proof of your designation along with this application.

CIE®

To obtain your CIE®, you must take and pass **any four*** of the following additional courses:

*American College and AHIP course equivalents [shown in brackets] can be used as a substitute.

- 1) LOMA 280 – Principles of Insurance (or LOMA 281 – Meeting Customer Needs with Insurance and Annuities), [HS 323 Individual Life Insurance], [HS 313 Individual Health Insurance], [AHIP Fundamentals of Health Insurance, Part A], or LUTCF* Designation (**MAY ONLY COUNT ONE**)
**NOTE: If the applicant has achieved a LUTCF designation, he/she has fulfilled the requirements for this course.*
- 2) LOMA 290 – Insurance Company Operations (or LOMA 291 – Improving the Bottom Line: Insurance Company Operations), [HS 323, 324, & 325], or [AHIP Fundamentals of Health Insurance, Part B] (**MAY ONLY COUNT ONE**)
- 3) LOMA 320/321 – Insurance Marketing/Marketing in Financial Services (or LOMA 326 – Financial Services Marketing (Offered through the end of 2015) or LUTCF* Designation (**MAY ONLY COUNT ONE**)
**NOTE: If the applicant has achieved a LUTCF designation, he/she has fulfilled the requirements for this course.*
- 4) LOMA 335 (or LOMA 330) – Operational Excellence in Financial Services/Management Principles and Practices
- 5) AIRC 410/411 – Regulatory Compliance – Companies, Producers & Operations/The Regulatory Environment for Life Insurance
- 6) AIRC 420/421 – Regulatory Compliance – Insurance and Annuity Products/Regulation of Life Insurance Products and Life Company Operations



IRES Accreditation

To obtain your AIE®, you must complete the required four core courses PLUS an additional four courses that can be chosen from either the LIFE-ANNUITIES or HEALTH or INFORMATION SYSTEMS options.*

*Must be all Life/Annuities or all Health or all Information Systems; not a mixture. American College and AHIP course equivalents [shown in brackets] can be used as a substitute.

Required Core Courses

- 1) LOMA 280 – Principles of Insurance (or LOMA 281 – Meeting Customer Needs with Insurance and Annuities), [HS 323 Individual Life Insurance], [HS 313 Individual Health Insurance], [AHIP Fundamentals of Health Insurance, Part A], or LUTCF* Designation **(MAY ONLY COUNT ONE)**
***NOTE: If the applicant has achieved a LUTCF designation, he/she has fulfilled the requirements for this course.**
- 2) LOMA 290 – Insurance Company Operations (or LOMA 291 – Improving the Bottom Line: Insurance Company Operations), [HS 323, 324, & 325], or [AHIP Fundamentals of Health Insurance, Part B] **(MAY ONLY COUNT ONE)**
- 3) AIRC 410/411 – Regulatory Compliance: Companies, Producers & Operations/The Regulatory Environment for Life Insurance
- 4) AIRC 420/421 – Regulatory Compliance: Insurance and Annuity Products/Regulation of Life Insurance Products and Life Company Operations

The AIE® designation will be automatically granted to sustaining members who:

- 1) Have been (or their firm has been) a sustaining member of IRES for at least two years;
- 2) Have attained the MCM® designation; and
- 3) Have obtained a CLU, ChHC, or FLMI designation.

You must send proof of your designation along with this application.

Optional Courses (must be four Life-Annuities or four Health or four Information Systems options; not a mixture with the exception of one Information Systems course can be substituted for a Life-Annuity or Health optional courses)

LIFE/ANNUITIES OPTION



- LOMA 311 – Business Law for Financial Services Professionals [HS 324 Life Insurance Law] **(MAY ONLY COUNT ONE)**
- LOMA 320/321 – Insurance Marketing/Marketing in Financial Services (or LOMA 326 – Financial Services Marketing (offered through the end of 2015) or LUTCF* Designation **(MAY ONLY COUNT ONE)**)
- LOMA 335 (or LOMA 330) – Operational Excellence in Financial Services/Management Principles and Practices
- LOMA 301 – Insurance Administration or LOMA 302 – The Policy Lifecycle: Insurance Administration.
- LOMA 307/308 – Business and Financial Concepts for Insurance Professionals/ The Business of Insurance: Applying Financial Concepts or LOMA 361 – Accounting and Financial Reporting in Life Insurance Companies
- AHIP - Annuities & Health Retirement Planning (*Series and designation retired on October 1, 2015*)
 - Understanding Annuities
 - Understanding Variable Annuities
 - Understanding Equity-Indexed Annuities
 - Annuities for Funding Long-Term Care Needs

INFORMATION SYSTEMS OPTION

- IDMA 2 – Insurance Data Quality
- IDMA 3 – Systems Development and Project Management
- IDMA 4 – Data Management, Administration and Warehousing
- NAIC Systems Proficiency Exam – Covers ACL and NAIC's Information Systems Questionnaire (ISQ)
- ICD-10 and 5010 Mandate – A Technology View **(ONLY COUNTS ONCE)**

HEALTH OPTION

- ICA C1, C3, or C4 – ICA C1 Medical Aspects of Claims; ICA C3 The Claims Administration; ICA C4 Management of Claim Operations **(MAY ONLY COUNT ONE)**
- ICD-10 and 5010 Mandate – A Technology View **(ONLY COUNTS ONCE)**
- AHM 250 – Healthcare Management: An Introduction
- AHM 510 – Governance, Legal Issues, Medicare & Medicaid
- AHM 520 – Health Plan Finance and Risk Management
- AHM 530 – Network Management
- AHM 540 – Medical Management
- AHIP – Disability, Parts I, II, or III or RHU 303 Disability Income **(MAY ONLY COUNT ONE)**
- AHIP – HIPAA Primer (Discontinued in 2014 – will not be replaced)
- AHIP – Long-Term Care, Part I or RHU 504 Long-term Care **(MAY ONLY COUNT ONE)**
- AHIP – Medical Expense Insurance (discontinued in 2011; will not be replaced)
- AHIP – Supplemental Health Insurance
- Courses under the AHIP Affordable Care Act Compliance Series and Healthcare Compliance Professional (HCP) designation
 - Accountable Care Organizations (ACOs)
 - Health Insurance Exchanges and the Affordable Care Act
 - Understanding Medicare
 - Understanding Private Accountable Care Organizations (ACOs)
 - Understanding the Summary of Benefits and Coverage (SBC)
- Courses under the AHIP Exchanges Training
 - Federally-Facilitated Marketplace (FFM) Training for Agents and Brokers

CIE®	<p>To obtain your CIE®, you must pass any four additional courses:</p> <ol style="list-style-type: none"> 1) AINS 101 – Property & Liability Insurance Principles 2) CPCU 520 – Insurance Operations 3) CPCU 530 – Business Law for Insurance Professionals 4) Any AIC course – Claims (MAY ONLY COUNT ONE) 5) ARC 301 – Insurance Regulation 		
4	<h2>Health Educational Path</h2>		2023
AIE®	<p>To obtain your AIE®, you must complete the required four core courses PLUS an additional four courses that can be chosen from either the LIFE-ANNUITIES or Other HEALTH or INFORMATION SYSTEMS options.*</p> <p>*Must be all Life-Annuities or all Health or all Information Systems; not a mixture. American College and AHIP course equivalents [shown in brackets] can be used as a substitute.</p> <p>Required Core Courses</p> <ol style="list-style-type: none"> 1) AHIP Fundamentals of Health Insurance, Part A or HS 313 Individual Health Insurance. (MAY ONLY COUNT ONE) 2) AHIP Fundamentals of Health Insurance, Part B (MAY ONLY COUNT ONE) 3) Healthcare Management: An Introduction (AHM 250) 4) Health Plan Finance and Risk Management (AHM 520) or Network Management (AHM 530) or Medical Management (AHM 540) (MAY ONLY COUNT ONE) <p>Optional Courses (must be four Life-Annuities or four Health or four Information Systems options; not a mixture with the exception of one Information Systems course can be substituted for a Life-Annuity or other Health optional courses)</p> <div style="display: flex; justify-content: space-between;"> <div style="width: 48%;"> <p>LIFE-ANNUITIES OPTION</p> <ul style="list-style-type: none"> • LOMA 311 – Business Law for Financial Services Professionals [HS 324 Life Insurance Law] (MAY ONLY COUNT ONE) • LOMA 320/321 – Insurance Marketing/Marketing in Financial Services (or LOMA 326 – Financial Services Marketing (offered through the end of 2015) or LUTCF* Designation (MAY ONLY COUNT ONE) • LOMA 335 (or LOMA 330) – Operational Excellence in Financial Services/Management Principles and Practices • LOMA 301 – Insurance Administration or LOMA 302 – The Policy Lifecycle: Insurance Administration. • LOMA 307/308 — Business and Financial Concepts for Insurance Professionals/ The Business of Insurance: Applying Financial Concepts or LOMA 361 – Accounting and Financial Reporting in Life Insurance Companies • AHIP - Annuities & Health Retirement Planning (<i>Series and designation retired on October 1, 2015</i>) <ul style="list-style-type: none"> ○ Understanding Annuities ○ Understanding Variable Annuities ○ Understanding Equity-Indexed Annuities ○ Annuities for Funding Long-Term Care Needs <p>INFORMATION SYSTEMS OPTION</p> <ul style="list-style-type: none"> • IDMA 2 – Insurance Data Quality • IDMA 3 – Systems Development and Project Management • IDMA 4 – Data Management, Administration and Warehousing • NAIC Systems Proficiency Exam – Covers ACL and NAIC's Information Systems Questionnaire (ISQ) • ICD-10 and 5010 Mandate – A Technology View (ONLY COUNTS ONCE) </div> <div style="width: 48%;"> <p>OTHER HEALTH OPTION COURSES</p> <ul style="list-style-type: none"> • ICA C1, C3, or C4 – ICA C1 Medical Aspects of Claims; ICA C3 The Claims Administration; ICA C4 Management of Claim Operations (MAY ONLY COUNT ONE) • ICD-10 and 5010 Mandate – A Technology View (ONLY COUNTS ONCE) • AHM 510 – Governance, Legal Issues, Medicare & Medicaid • AHIP – Disability, Parts I, II, or III or RHU 303 Disability Income (MAY ONLY COUNT ONE) • AHIP – HIPAA Primer (Discontinued in 2014 – will not be replaced) • AHIP – Long-Term Care, Part I or RHU 504 Long-term Care (MAY ONLY COUNT ONE) • AHIP – Medical Expense Insurance (discontinued in 2011; will not be replaced) • AHIP – Supplemental Health Insurance • Courses under the AHIP Affordable Care Act Compliance Series and Healthcare Compliance Professional (HCP) designation <ul style="list-style-type: none"> ○ Accountable Care Organizations (ACOs) ○ Health Insurance Exchanges and the Affordable Care Act ○ Understanding Medicare ○ Understanding Private Accountable Care Organizations (ACOs) ○ Understanding the Summary of Benefits and Coverage (SBC) • Courses under the AHIP Exchanges Training <ul style="list-style-type: none"> ○ Federally-Facilitated Marketplace (FFM) Training for Agents and Brokers </div> </div>	<p>The AIE® designation will be automatically granted to sustaining members who</p> <ol style="list-style-type: none"> 1) Have been (or their firm has been) a sustaining member of IRES for at least two years; 2) Have attained the MCM® designation; and 3) Have obtained a ChHC designation. <p>You must send proof of your designation along with this application.</p>	

To obtain your CIE®, you must pass **any four** additional courses:

For P&C:

- 1) **AINS 101** – Property & Liability Insurance Principles
- 2) **CPCU 520** – Insurance Operations
- 3) **CPCU 530** – Business Law for Insurance Professionals
- 4) **Any AIC course** – Claims
(MAY ONLY COUNT ONE)
- 5) **ARC 301** – Insurance Regulation

To obtain your CIE®, you must take and pass **any four*** of the following additional courses:

***American College and AHIP course equivalents [shown in brackets] can be used as a substitute.**

For L&H:

- 1) **LOMA 280** – Principles of Insurance (or LOMA 281 – Meeting Customer Needs with Insurance and Annuities), [HS 323 Individual Life Insurance], [HS 313 Individual Health Insurance], [AHIP Fundamentals of Health Insurance, Part A], or LUTCF* Designation (MAY ONLY COUNT ONE)
- 2) **LOMA 290** – Insurance Company Operations (or LOMA 291 – Improving the Bottom Line: Insurance Company Operations), [HS 323,324,& 325], or [AHIP Fundamentals of Health Insurance, Part B] (MAY ONLY COUNT ONE)
- 3) **LOMA 320/321** – Insurance Marketing/Marketing in Financial Services (or LOMA 326 – Financial Services Marketing (offered through the end of 2015) or LUTCF* Designation (MAY ONLY COUNT ONE)
*NOTE: If the applicant has achieved a LUTCF designation, he/she has fulfilled the requirements for this course.
- 4) **LOMA 335 (or LOMA 330)** – Operational Excellence in Financial Services/Management Principles and Practices
- 5) **AIRC 410/411** – Regulatory Compliance – Companies, Producers & Operations/The Regulatory Environment for Life Insurance
- 6) **AIRC 420/421** – Regulatory Compliance – Insurance and Annuity Products/Regulation of Life Insurance Products and Life Company Operations

IRES members who have obtained the Society of Financial Examiners' Accredited Financial Examiner (AFE) or Certified Financial Examiner (CFE) designation may obtain a written waiver granting credit for completion of certain courses (noted below) in the curriculum required for the AIE® or CIE® designation from IRES' Accreditation and Education Committee.

A copy of the AFE or CFE certificate, along with the exam test score of the AFE or CFE course for which credit is being requested, shall accompany the AIE® or CIE® application with a cover letter requesting the waiver.

To be granted credit, the test score on the AFE or CFE course exam must be 70% or better. This is the minimum passing score for all courses in the AIE®/CIE® curriculum.

The courses from which one course credit may be requested are as follows:

- 1) AFE 2: Property and Liability Insurance Fundamentals **for**
CPCU 520: Insurance Operations
- 2) AFE 3: Life and Health Insurance Accounting **for**
LOMA 361: Accounting & Financial Reporting in Life Insurance Companies
- 3) AFE 4: Property and Liability Insurance Accounting and
CFE 2: Analysis and Evaluation Procedures **for**
AIAF 111: Statutory Accounting for Property Casualty Insurers

The Institutes

720 Providence Rd, Suite 100
Malvern, PA 19355-0770
800-644-2101 •
theinstitutes.org

AINS 101	CPCU 551
AINS 22	CPCU 552
AINS 23	CPCU 553
Any AIC course	CPCU 555
CPCU 500	CPCU 556
CPCU 520	CPCU 557
CPCU 530	ARC 301
CPCU 540	AIAF 111

Academy for Healthcare Management

601 Pennsylvania Ave NW
South Building, Ste 500
Washington, DC 20004
800-509-4422 • ahip.org/ahm

AHM 250, 510, 520, 530 & 540

National Association of Health Underwriters (NAHU)
1212 New York Avenue NW
Suite 1100
Washington, DC 20005
(202) 552-5060
(202) 747-6820(fax)
info@nahu.org

The American College (CLU, ChFC, LUTCF)

270 S Bryn Mawr Ave
Bryn Mawr, PA 19010
888-263-7265 •
theamericancollege.edu

HS courses
RHU 303
RHU 504
LUTCF designation

NAIC

education@naic.org
816-783-8200 • naic.org

Insurance Data Management Association

545 Washington Blvd 22-16
Jersey City, NJ 07310
201-469-3069 • idma.org

IDMA courses

Life Management Institute (LOMA)

LOMA/Life Management Institute
2300 Windy Ridge Pkwy, Ste 600
Atlanta, GA 30339
770-951-1770 • loma.org

LOMA 280	LOMA 301/302	LOMA 361
LOMA 281	LOMA	LOMA 371
LOMA 290	LOMA 307/308	AIRC 410/411
LOMA 291	LOMA 311 (or 310)	AIRC 420/421
	LOMA 320/321/326	
	LOMA 335 (or 330)	

International Claim Association (ICA)

1155 15th St NW, Ste 500
Washington, DC 20005
202-452-0143 • claim.org

ICA C1 ICA C3 ICA C4

America's Health Insurance Plans (AHIP)

601 Pennsylvania Ave NW
South Building, Ste 500
Washington, DC 20004
800-509-4422 • ahip.org/ahm

AHIP courses and FAHM
AHM 250, 510, 520, 530, 540

N · I · C · E**National IRES Continuing Education****The mandatory continuing education program for AIE® and CIE® designees**

All AIE® and CIE® designees must comply with the Society's annual, mandatory continuing education program. Fifteen (15) qualifying credit hours must be reported to the Society each year to keep the designation in good standing.

The reporting year is September 1 through August 31.

A few weeks after your designation has been approved, you will receive a NICE manual outlining the continuing education requirements containing full details as well as reporting forms. You may also see the NICE program procedures at the IRES web site, www.go-ires.org.

Excerpts from the IRES Code of Professional Conduct and Ethics

To maintain and broaden public confidence, members should perform all regulatory responsibilities with the highest sense of integrity. Integrity is an element of character fundamental to professional recognition. It is the quality from which the public trust derives and the benchmark against which a member must ultimately test all decisions. Integrity requires a member to be, among other things, honest and candid within the constraints of statutory confidentiality. Service and public trust should not be subordinated to personal gain and advantage. Integrity can accommodate the inadvertent error and the honest difference of opinion; it cannot accommodate deceit and subordination of principle. Integrity also requires a member to observe the principles of objectivity and independence and due care...

For a full text of the IRES Code of Ethics, see www.go-ires.org.

I have read, understand, and attest to abide by the IRES Code of Professional Conduct and Ethics.

Yes No

Please attach a statement if your answer is no.

Have you been found guilty in a civil action involving dishonesty or a breach of trust?

Yes No

Please attach a statement if your answer is yes.

Have you been convicted of a criminal felony involving dishonesty or breach of trust?

Yes No

Please attach a statement if your answer is yes.

I hereby affirm that the statements given on this form and attached information are accurate and complete.

SIGNATURE

DATE